



2661 #6  
BT  
11-28-03

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE PATENT APPLICATION OF: Kachru et al.      OUR FILE NO: 25-7 US  
SERIAL NUMBER: 10/072,472      GROUP: 2661  
FILED: February 7, 2002      EXAMINER: Unknown  
TITLE: COMPACT, FOUR PORT FILM FILTER OPTICAL ADD/DROP  
MULTIPLEXER

CONFIRMATION NO:

**Information Disclosure Statement**

Mail Stop Non-Fee Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

RECEIVED  
NOV 25 2003  
Technology Center 2600

Dear Sir:

Transmitted herewith is an Information Disclosure Statement (Form PTO-1449) in the above-captioned application with references.

**Certification**

\_\_\_\_\_ This Information Disclosure Statement is submitted within three months of:

- (i) the filing date of the above-identified U.S. National Patent application, or
- (ii) the date of entry into the U.S. National Stage of the above-identified International Application, or
- (iii) the date of entry into the U.S. National Stage of the International Application that has been assigned the above-identified U.S. Patent application number, whichever applies.

  X   This Information Disclosure Statement is submitted prior to the mailing date of the first Office Action on the merits received by Applicant in the above-identified application.

\_\_\_\_\_ This Information Disclosure Statement is submitted after three months from

- (i) the filing date of the above-identified U.S. National Patent application, or
- (ii) after three months from entry into the U.S. National Stage of the above-identified International Application; or
- (iii) the date of entry into the U.S. National Stage of the International Application that has been assigned the above-identified U.S. Patent application number, whichever applies; and after the mailing date of the first Office Action on the merits of the above-identified application, but prior to issuance of the earlier of any Final Action or Notice of Allowance sent in such application. The certification under 37 C.F.R. § 1.97(e) is submitted separately or below, or the fee required under 37 C.F.R. § 1.97(c) and § 1.17(p) is submitted herewith.

\_\_\_\_\_ This Information Disclosure Statement is submitted after the earlier of the mailing date of a final rejection or Notice of Allowance sent in this application but before payment of the Issue Fee. The certification required under 37 C.F.R. § 1.97(e) is submitted separately or below. A petition to the Commissioner and the appropriate fee pursuant to § 1.17(i) (1) are submitted herewith.

\_\_\_\_\_ A certification under 37 C.F.R. §1.97 is submitted herewith separately from this paper.

\_\_\_\_\_ It is hereby certified that each item of information contained in this statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

\_\_\_\_\_ No item of information contained in this statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, after making reasonable inquiry, was known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of this statement.

Respectfully submitted,



Charles E. Wands  
Registration No: 25,649

**NOV 21 2003**

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<b>Form PTO 1449</b>  <b>U.S. Department of Commerce</b> <b>Patent and Trademark Office</b>  Information Disclosure Statement by Applicant	<b>ATTY. DOCKET NUMBER:</b> 25-7 US	<b>SERIAL NUMBER:</b> 10/072,472
	<b>APPLICANT:</b> KACHRU ET AL.	
	<b>FILING DATE:</b> FEBRUARY 7, 2002	<b>GROUP:</b> 2661

**U.S. Patent Documents**

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILED APPE

**Foreign Patent Documents**

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

**Other Documents (Including Author, Title, Date Pertinent Pages, Etc.)**

		John M. Senior, "Optical fiber communications: principles and practice", Prentice Hall International series in optoelectronics, 2 <sup>nd</sup> ed., 1992, pp. 262-270.
<b>EXAMINER</b>		<b>DATE CONSIDERED</b>
<i>EXAMINER: Initial if citation is considered, whether or not citation is in conformance with MPEP 609; draw a line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant</i>		